

HAWAII STATE ETHICS COMMISSION

DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)

NAME (Last, First, Middle) <p style="text-align: center;">McCLAIN, David Stanley</p>	STATE POSITION HELD: (Dept/Div or Board/Commission) <p style="text-align: center;">Interim President</p> TERM OF OFFICE (Begin/End): appointed 10/22/04, retroactive to 8/15/04 / 8/14/06
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FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.
USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	University of Hawaii System 2444 Dole Street, Honolulu, HI 96822		Interim President Acting President Vice President for Academic Affairs
F	First Insurance Company of Hawaii 1100 Ward Avenue, Honolulu, HI 96814	C	Director
F	ML Resources P.O. Box 1826, Papikou, HI 96781	C	Director

☐ Check here if entry is None

☐ Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP,DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES

☒ Check here if entry is None

☐ Check here if additional sheets are attached

ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

☒ Check here if entry is None ☐ Check here if additional sheets are attached

ITEM 4: CREDITORS

List the name and address of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
JT	First Hawaiian Bank, Honolulu, HI	J	J

☐ Check here if entry is None ☐ Check here if additional sheets are attached

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	First Insurance Co of Hawaii 1100 Ward Ave, Honolulu 96814	Director	Annual	C
F	ML Resources P.O. Box 1826, Papaikou 96781	Director	Annual	C
F	Please see attached list for non-profit and other uncompensated boards of directors and advisory boards			

☐ Check here if entry is None ☐ Check here if additional sheets are attached

ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE

List interests in real property in the State, held during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
JT	84 Laiki Place Kailua, HI 96734 (acquired January 2003)	1/4-3-019-028	J
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge.

SIGNATURE David A. McCain DATE 11/17/04

David S. McClain
Disclosure of Financial Interests
Item 5

BOARDS OF DIRECTORS (as of September, 2004):

First Insurance Company of Hawai'i, a joint venture of CNA and Tokyo Marine and Fire Insurance, May 2003 to date.

ML Resources, Inc., a publicly-traded (NYSE, symbol NUT) firm involved in the growing of macadamia nuts, 2000 to date.

NONPROFIT BOARDS OF DIRECTORS (as of September, 2004):

Board of Academic Advisors, Graduate School of Asia-Pacific Studies, Ritsumeikan Asia-Pacific University, Japan

Chamber of Commerce of Hawai'i (since 2001; currently chair, Education Council)

Enterprise Honolulu (since 2000)

Foundation for Asia Pacific Center for Security Studies (since 2004)

Friends of the East-West Center (since 1998)

Hanalei School (since 2004)

Hawaiian Educational Council (since 2002)

Hawai'i Alliance for Arts Education (since 2003)

Hawai'i Literacy (since January, 2002; currently president of the board)

Hawai'i Council on Economic Education (HCEE) (since 1999; chair 2001-02)

Hawai'i Public Radio (since 1999)

Hawai'i Society of Investment Professionals (since 2002)

Japan-America Society of Hawai'i (since 1991)

Maui Economic Development Board (since 2003)

McKinley High School Academy of Finance (since 2002)

Pacific and Asian Affairs Council (since 1996)